

UNITED STATES DISTRICT COURT DISTRICT
DISTRICT OF MASSACHUSETTS
EASTERN DIVISION

STEVEN G. WICKS,
GERALD A. KALBFLEISCH, and
MICHAEL and MYRTLE HATHAWAY
Plaintiffs,
v.
PUTNAM INVESTMENT
MANAGEMENT, LLC, and
PUTNAM RETAIL
MANAGEMENT, LLP,
Defendants

Civil Action No: 04-CV-10988 (GAO)

LOCAL RULE 16.1 (D)(3) CERTIFICATION

Pursuant to Local Rule 16.1 (D)(3) the undersigned hereby certify that they have conferred: (a) with a view to establishing a budget for the costs of conducting the full course and various alternative courses -- of the litigation; and (b) have considered the resolution of the litigation through the use of alternative dispute resolution programs such as those outlined in Local Rule 16.4.

Dated: July 20 , 2005.

Respectfully submitted,

Thomas Grady (C.H.M.)

Thomas R. Grady (admitted *pro hac vice*)
ACKERMAN, LINK & SARTORY, P.A.
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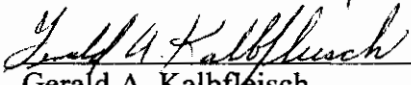
Steven G. Wicks
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Gerald A. Kalbfleisch

Michael Hathaway

Myrtle Hathaway

Steven G. Wicks



Gerald A. Kalbfleisch

Michael Hathaway

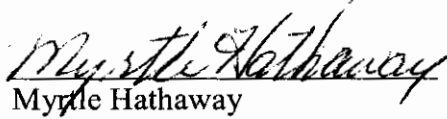
Myrtle Hathaway

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Michael Hathaway



Myrtle Hathaway

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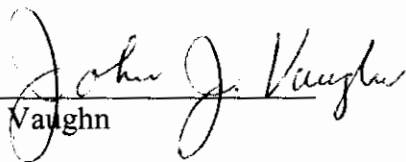
Dated: July 20 , 2005.

Respectfully submitted,

Jonathan D. Mutch, Esq. (BBO No. 634543)
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